



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/766,122	01/18/2001	Joseph Shu	AP102HO	1152
20178	7590	05/17/2004	EXAMINER	
EPSON RESEARCH AND DEVELOPMENT INC INTELLECTUAL PROPERTY DEPT 150 RIVER OAKS PARKWAY, SUITE 225 SAN JOSE, CA 95134			LAROSE, COLIN M	
		ART UNIT	PAPER NUMBER	
		2623		
DATE MAILED: 05/17/2004				

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>
	09/766,122	SHU ET AL.
	<b>Examiner</b>	<b>Art Unit</b>
	Colin M. LaRose	2623

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 25 February 2004.
- 2a) This action is **FINAL**.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1,2,4,6-10,12,14-18,20 and 22-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1,2,4,6-10,12,14-18,20 and 22-24 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All    b) Some \* c) None of:
1. Certified copies of the priority documents have been received.
  2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- 1) Notice of References Cited (PTO-892)
- 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) Notice of Informal Patent Application (PTO-152)
- 6) Other: \_\_\_\_\_.

## **DETAILED ACTION**

### *Arguments and Amendments*

1. Applicants' amendments and/or arguments filed 25 February 2004, have been entered and made of record.

### *Response to Amendments and Arguments*

2. Applicant's arguments regarding newly amended claims 1, 9, and 17 have been considered.

The Lee reference is believed to disclose the added limitation of: "applying a two-dimensional mask that is divided into a plurality of quadrants, the center of the two-dimensional mask being common to each of the quadrants." As previously noted in paper 6 for claims 5, 13, and 21 (which have all been canceled by way of amendment), Lee discloses a 5x5 mask for checking the classification of a pixel. The 7x7 mask comprises four 4x4 quadrants that all overlap the center pixel.

Applicant has also clarified that the screen pixel is defined as a pixel being a part of a predetermined periodic pattern. Lee's "halftone" pixels are believed to correspond to Applicant's "screen" pixels. However, Lee does not expressly disclose that his halftone pixels are part of periodic patterns. U.S. Patent 4,773,734 by Inoda is applied below to show that, conventionally, halftone regions are those regions of an image that exhibit a periodic pattern due to the application of a screen having a periodic pattern and that the distinction between a "halftone" pixel and a "screen" pixel is not substantive.

***Claim Objections***

3. Claims 7 and 23 objected to because of the following informalities:  
The “selectively applying” step/instruction should be -- (d) -- rather than “(e).”  
Appropriate correction is required.

***Claim Rejections - 35 USC § 103***

4. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
5. Claims 1, 2, 4, 6, 7-10, 12, 14-18, 20, and 22-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 6,160,913 by Lee et al. (“Lee”) in view of U.S. Patent 4,773,734 by Inoda.

Regarding claims 1, 9, and 17, Lee discloses a method/apparatus/computer program (figure 1) for removing image artifacts from a representation of an image comprising the steps of:

- a) obtaining a pixel representation of the image (12: greyscale image data is obtained);
- b) classifying each pixel in the image as a screen or non-screen pixel (figure 4 is a detailed flowchart of block 16: in block 402, pixels are classified as halftone (screen) or continuous tone (non-screen) pixels);
- c) examining pixels in a predetermined surrounding area of each pixel to check the classification of that pixel as determined in step (b) (406, figure 4: pixels are re-classified as halftone or continuous tone using a 7x7 moving window) by applying a two-dimensional mask that is divided into a plurality of quadrants, the center of the two-dimensional mask being

common to each of the quadrants (the 7x7 mask comprises four 4x4 areas that all overlap the center pixel – i.e. each of the 4x4 areas originate at a different corner of the 7x7 mask);

d) selectively applying a low pass filter to pixels in the image, such that, when the low pass filter is applied, a center of the low pass filter is selectively shifted relative to a current pixel based on the examining in step c (24, figure 1 and column 9, lines 7-24: the low pass median filter is selectively applied to the image based on the final classification of the pixels as determined in step c – the filter is only performed on the halftone (i.e. screen) pixels; thus, when the filter is applied on a current pixel, it is shifted to a new screen pixel).

Lee does not expressly disclose the halftone pixels are part of periodic patterns, as claimed.

Inoda, in a disclosure of prior art, describes a conventional method by which halftone images are created (see description with respect to figure 1). In particular, the method involves applying a light signal through a contact screen having a vignetted dot pattern (column 1, lines 48-52), and the screen consists of a periodic pattern (column 1, lines 64-68). Application of the screen creates a halftoned image (column 2, lines 24-31), which includes periodic patterns that may be large enough to produce undesirable moiré effects (column 2, lines 49-52).

As shown by Inoda to be conventional in the art, halftone images are produced by screens with periodic patterns. The resulting halftone images also exhibit the periodic pattern introduced by the screen, and pixels in the halftone regions are necessarily a part of periodic patterns.

It would have been obvious to one of ordinary skill in the art at the time of the invention that Lee's "halftone" pixels are part of predetermined periodic patterns, since Inoda teaches that conventionally, halftone regions exhibit periodicity as a result of screening.

Regarding claims 2, 10, and 18, Lee discloses the classifying step (b) comprises applying a mask that is divided into overlapping areas with the center of the mask being common to each of the areas, the mask being applied such that it is centered on the pixel being classified to determine if the center pixel is a part of the predetermined periodic pattern (402, figure 4: a 5x5 mask is applied to determine if the area is a halftone area and therefore is a part of a periodic pattern; the 5x5 mask comprises four 3x3 quadrants that overlap the center pixel).

Regarding claims 4, 12, and 20, Lee discloses in the examining step (c), the 2-d mask is applied such that it is centered on the pixel being checked (406, figure 4: the 7x7 mask is centered on a pixel of interest).

Regarding claims 6, 14, and 22, Lee does not expressly disclose the halftone regions have a periodic line or dot pattern having a period of 2 or 3, however, it is apparent that Lee's system is operative to detect halftone patterns with a period of 2 or 3. Figures 6 and 7 show pixel patterns that Lee classifies as "non-halftone" since they contain at least three consecutive black pixels. All pixel patterns that contain less than three consecutive pixels are classified as "halftone". Since Lee's system utilizes a window that is only five pixels wide, it is suitable for detecting halftone patterns with small periods, such as 2 or 3 (i.e. one or two white pixels in between two black pixels). The exact frequency of the halftone region depends on the characteristics of the inputted image and does not impinge on the operation of Lee's system.

Regarding claims 7, 15, and 23, Lee discloses that the selectively applying step comprises selectively applying the low pass filter based on which of the plurality of quadrants in the 2-d mask contains screen pixels (24, figure 1 and column 9, lines 7-24: the low pass median filter is selectively applied to the image based on the final classification of the pixels as determined in

step c; and the final classification is based on which of the mask areas (quadrants) contain screen pixels, and more particularly, which areas (quadrants) contain a certain number of screen pixels – 610, figure 8: the number of screen pixels in the window determines whether the center pixel is labeled as screen or non-screen).

Regarding claims 8, 16, and 24, Lee discloses

f) determining a feature indicator for at least a portion of the image (block 16 of figure 1 produces a halftone region map, which indicates features of the pixels in the image – i.e. indicates which pixels are halftone (screen) pixels); and

g) adaptively softening the at least one portion of the image based on the determined feature indicator (24, figure 1: the portions of the image indicated as halftone are softened).

### ***Conclusion***

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Colin M. LaRose whose telephone number is (703) 306-3489. The examiner can normally be reached Monday through Thursday from 8:00 to 5:30. The examiner can also be reached on alternate Fridays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Amelia Au, can be reached on (703) 308-6604. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the TC 2600 Customer Service Office whose telephone number is (703) 306-0377.



AMELIA M. AU  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2600

CML  
Group Art Unit 2623  
4 May 2004